

# Campaign Finance and Public Disclosure Board

190 Centennial Office Building, 658 Cedar St, St Paul, MN 55155

www.cfboard.state.mn.us



## Original Statement of Economic Interest for Judges

### Filing instructions

(General instructions for completing the form are on page 2)

- The statement must be received by the Campaign Finance and Public Disclosure Board within 60 days after:
  - the first day of the term in office for a person elected as a judge, or;
  - the effective date of appointment for a person appointed as a judge.
- Late fees will accrue for a statement not received by the due date.
- This form may be filed by mail to the address above, by email to [cfb.eis@state.mn.us](mailto:cfb.eis@state.mn.us), or by fax to 651-539-1196 or 800-357-4114.
 

**Fax filers:** Keep the original and a fax confirmation notice as proof of timely filing.
- All information on this statement is public information and may be published on the Board's website.
- It is unlawful to use information filed with the Board for commercial purposes.
- Board staff may be reached by telephone at 651-539-1184 or 800-657-3889 or by email at [cfb.eis@state.mn.us](mailto:cfb.eis@state.mn.us).



### Candidate information

### Employment information

Name		Occupation
Address at which you wish to receive mail from the Board (You may use either a home or business address)		Name of employer (Include any employer as a source of compensation on page 3. Also indicate here if self-employed or unemployed.)
City, state, zip		Chambers address (This address will be posted on the Board's website)
Telephone (Daytime)		Business city, state, zip
Court on which you serve	District #	Email address

### Certification

I, \_\_\_\_\_, certify that the information contained on this form, including information on the schedules on page 3, is complete, true, and correct.

Print or type name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Notice: Any person who signs and certifies to be true a statement which the person knows contains false information or omits required information is subject to a civil penalty imposed by the Board of up to \$3,000 and is subject to criminal prosecution for a gross misdemeanor.**

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180 or 800-657-3889 or through the Minnesota Relay Service at 800-627-3529.

## General instructions

### Reporting period

If this is your first statement, the reporting period is 1) the calendar month before the effective date of your appointment as a judge if you were appointed, or 2) the calendar month before the first day of your term in office if you were elected. If this is a subsequent statement, the reporting period includes all calendar months since you filed your last statement.

### Reporting sources of compensation

Based on the definitions below, list all businesses from which you received more than \$50 in compensation in any month during the reporting period.

"Business" means an association, corporation, partnership, limited liability company, limited liability partnership, or other organized legal entity. An individual acting alone in the individual's own capacity, such as in the form of a sole proprietorship, is not a business for purposes of this statement.

"Compensation" means any payment for labor or personal services as a director, officer, owner, member, partner, employer, or employee of a business. Compensation also includes payment of honoraria. Compensation does not include payments that do not result from the performance of services, such as rental income, social security payments, unemployment compensation, workers' compensation, pension benefits, or insurance benefits.

### Reporting business or professional activity categories

List the following information:

- 1) The business or professional activity category of each business from which you received more than \$50 in any month as an employee if you also own 25% or more of that business; and
- 2) Any business or profession activity category in which you received compensation of more than \$2,500 in the past 12 months as an independent contractor.

The business and professional activity categories are listed on page 4. You may abbreviate a word as long as the abbreviation sufficiently identifies the category that you are reporting.

### Reporting securities

"Securities" means stocks, shares, bonds, warrants, options, pledges, notes, debentures, leases, and commercial paper.

List all businesses in which you individually or jointly held securities valued at more than \$2,500 during any month in the reporting period. Use the definition of business in the compensation section above. For securities in a business, list the complete legal name of the business, not the business's stock market abbreviation. Do not list the value of the securities.

Include securities held in individual retirement accounts, deferred compensation plans, 401(k) accounts, or similar plans. Do not include securities held in pension plans such as the Minnesota State Retirement System.

Mutual fund interests held outside of a pension plan are securities and must be listed by fund name. Do not report the names of the individual stocks held by the mutual fund.

### Reporting real property

Do not report your homestead. Report interests in all other real property located in Minnesota that you held individually or jointly during the reporting period if the interest was valued at more than \$2,500. You must report the following interests: a fee simple interest (you are an owner, even if you owe a mortgage), a mortgage that you hold as a seller, or a contract for deed as a buyer or seller.

Report an option to buy if the value of the option is more than \$2,500 or if the fair market value of the optioned property is more than \$50,000 even if the value of the option itself is \$2,500 or less.

For each property list the county in which the property is located. Also list the complete property address. The complete property address is the street address and city, or if the property does not have a street address, the section, township, and range where the property is located and the approximate acreage.

### Waiver of reporting of second residence

Officials may request a waiver of the requirement to disclose the address of real property that serves as a secondary residence of the official. Complete the waiver section if you wish to request this waiver. Your waiver will be automatically granted unless the Board advises you otherwise.

### Reporting pari-mutuel horseracing interests

Report any investment, ownership, or interest in property connected with pari-mutuel horse racing in the United States or Canada, including a race horse. Include any direct or indirect, partial or full interest held by you or an immediate family member.

**Attach additional pages if necessary to complete any of these schedules.**

**Sources of compensation**

----- Check each applicable box -----

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee	Honorarium

**Business or professional activity categories**

----- Check the applicable box -----

Business or professional activity category (See page 4)	Employee: \$50 in income in a month and owns 25% or more of the business	Independent contractor: More than \$2,500 in compensation

**Securities**

Name of business in which security is held or name of mutual fund	Name of business in which security is held or name of mutual fund

**Real property**

----- Check one -----

County	Street address and city; or section, township, and range	Own	Mortgage (Held as seller)	Contract for deed (As buyer or seller)	Option to buy - option value greater than \$2,500	Option to buy - property value greater than \$50,000	Acreage (If applicable)

**Waiver for second residence**

(Complete and sign if applicable.)

I am a Minnesota judge and I own real property in the State of Minnesota that serves as my second residence. I have concerns for my personal safety and that of my family if my second residential address is disclosed. I request a waiver of the requirement that I disclose this information.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Note:** Your waiver will be automatically granted unless you are advised otherwise by the Board.

**Pari-mutuel horse racing interests**

----- Check one -----

	Partial interest	Full interest	Description of interest (Horse, stable, etc.)
Official direct interest			
Official indirect interest			
Family interest			

## Business and professional activity categories

Use these categories for the business or professional activity category section on page 3.

If you need more information to decide which category to use, please see the chart on the Internal Revenue Service website at <http://www.irs.gov/instructions/i1040sc/ch02.html#d0e1929>.

Accommodation  
Administrative and Support Services  
Agriculture, Forestry, Hunting, & Fishing  
Agriculture & Forestry Support Activities  
Amusement, Gambling, & Recreation Industries  
Broadcasting (except internet) & Telecommunications (including internet service providers)  
Construction of Buildings  
Construction - Heavy and Civil Engineering  
Construction - Specialty Trade Contractors  
Credit Intermediation & Related Activities  
Data Processing Services (including internet publishing)  
Educational Services  
Food Services & Drinking Places  
Health Care - Ambulatory Health Care Services  
Health Care - Hospitals  
Health Care - Nursing & Residential Care Facilities  
Information (publishing industries except internet)  
Insurance Agents, Brokers, & Related Activities  
Manufacturing - Chemical  
Manufacturing - Food  
Manufacturing - Leather & Allied Product  
Manufacturing - Nonmetallic Mineral Product  
Manufacturing - Other  
Mining  
Motion Picture & Sound Recording  
Museums, Historical Sites, & Similar Institutions  
Performing Arts, Spectator Sports, & Related Industries  
Personal & Laundry Services  
Professional, Scientific, & Technical Services (Architectural, Engineering, & Related Services)  
Professional, Scientific, & Technical Services (Computer Systems Design & Related Services)  
Professional, Scientific, & Technical Services (Legal, Accounting, Payroll, & Tax Preparation Services)  
Professional, Scientific, & Technical Services (Specialized Design Services)  
Professional, Scientific, & Technical Services (Other)  
Real Estate  
Religious, Grantmaking, Civic, Professional, & Similar Organizations  
Rental & Leasing Services  
Repair & Maintenance Services  
Retail - Building Material & Garden Equipment & Supplies Dealers  
Retail - Clothing & Accessories Stores  
Retail - Electronic & Appliance Stores  
Retail - Food & Beverage Stores  
Retail - Furniture & Home Furnishing Stores  
Retail - Gasoline Stations  
Retail - General Merchandise Stores  
Retail - Health & Personal Care Stores  
Retail - Motor Vehicle & Parts Dealers  
Retail - Sporting Goods, Hobby, Book, & Music Stores  
Retail - Miscellaneous Store Retailers  
Retail - Nonstore Retailers  
Securities, Commodity Contracts, & Other Financial Investments & Related Activities  
Social Assistance  
Transportation  
Transportation (couriers and messengers)  
Unclassified Establishments (unable to classify)  
Utilities  
Warehousing & Storage Facilities  
Waste Management & Remediation Services  
Wholesale Trade - Merchant Wholesalers (Durable Goods)  
Wholesale Trade - Merchant Wholesalers (Nondurable Goods)  
Wholesale Electronic Markets and Agents & Brokers